

03-02500

FISH & RICHARDSON P.C.

A
2200 Sand Hill Road
Suite 100
Menlo Park, California
94025

Telephone
650 322-5070

Facsimile
650 854-0875

Web Site
www.fr.com

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Applicant: CHINMOY PANDA

Title: IDENTIFYING KEY IMAGES IN A DOCUMENT IN
CORRESPONDENCE TO DOCUMENT TEXT

Enclosed are the following papers, including those required to receive a filing date
under 37 CFR 1.53(b):

| | <u>Pages</u> |
|---------------|--------------|
| Specification | 10 |
| Claims | 5 |
| Abstract | 1 |
| Declaration | 1 |
| Drawing(s) | 4 |

Enclosures:

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Frederick P. Fish
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Page 2

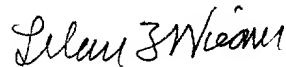
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Respectfully submitted,



Leland Zack Wiesner
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APPLICATION FOR UNITED STATES PATENT

in the name of

CHINMOY PANDA

of

ADOBE SYSTEMS INCORPORATED

for

**IDENTIFYING KEY IMAGES IN A DOCUMENT IN
CORRESPONDENCE TO DOCUMENT TEXT**

Leland Z. Wiesner
Fish & Richardson P.C.
2200 Sand Hill Road, Suite 100
Menlo Park, CA 94025
Tel.: (650) 322-5070
Fax: (650) 854-0875

ATTORNEY DOCKET:
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IDENTIFYING KEY IMAGES IN A DOCUMENT IN CORRESPONDENCE TO DOCUMENT TEXT

BACKGROUND

This invention generally relates to the representation of image information in a document.

Traditional documents often use images to compliment or accompany the text information within the document. Images are typically included within the document to help the person reading the document better understand the text. Using conventional publishing software enables the author or editor of the document can manually determine what images are to be included in the document, how large the images should be and finally where to place them on a given page.

In some cases, it would be advantageous if the publishing software could automatically collect images from the document and organize them for different types of presentations. This would allow the images in different documents to be used for a variety of different purposes other than . For example, it would be useful if publishing software could select the key images from a document and present them to a user. Unfortunately, the current offering of publishing software is not designed to automatically analyze the images in a document and present them in different formats.

SUMMARY OF THE INVENTION

In one aspect of the present invention, a computer-implemented method identifies key images in a document, extracts one or more document keywords from the document considered important in describing the document, collects one or more images associated with the document including information describing each image, generates a proximity factor for each image collected from the document and each document keyword that reflects the degree of correlation between the image and the document keyword, and determines the importance of each image according to an image metric that combines the proximity factors for each document keyword and image pair.

Another aspect of the present invention also includes ordering the document

keywords according to an ordering criterion and weighting the proximity factor associated with each document keyword and image pair based on the order of the document keyword.

Advantages in implementations of the invention include one or more of the following.

The automatic selection of key images from a document can be used as a pictorial summary of a document. The images selected for the summary represent important images in the document and can therefore be helpful in understanding the document. In addition, identifying the key images in a document can also be used in image-based searching. The image-based search uses key images as seeds for searching just as key words are used as seeds in text-based searches. For example, the image-based searches may search for images similar to the key images selected from the document.

BRIEF DESCRIPTION OF THE DRAWINGS

The accompanying drawings, which are incorporated in and constitute a part of this specification, illustrate one implementation of the invention and, together with the description, serve to explain the advantages and principles of the invention.

FIG. 1 is a block diagram representation of a computer system that uses one implementation of the invention to summarize a document with images;

FIG. 2 is a flowchart of the operations used to generate a proximity factor for each image and select a set of images for the image summary based on the proximity factor;

FIG. 3 is a flowchart of the operations that generate a proximity factor for an image by comparing the text associated with an image and the document keywords within the document; and

FIG. 4 is a flowchart of the operations used to generate a proximity factor for an image according to the distance between the image and the document keywords in the document.

DETAILED DESCRIPTION

FIG. 1 is a block diagram of a computer system for graphically displaying information in accordance with the present invention. This computer system 102 includes a display device 104, an input/output device 106, a network interface 108, a memory 110, a

secondary storage 118, and a processor 120. When the system is operating, memory 110 includes an operating system 112, an image selection process 114, and a document preparation process 116. Image selection process 114 uses a proximity factor to identify key images in a document and an image metric to rank the image from the document. The

5 proximity factor reflects the degree of correlation between an image and important text in the document identified as document keywords. The image metric incorporates the proximity factor and the document keywords to then identify the key images in the document. In one implementation, this image selection process 114 can be used in conjunction with document preparation process 116 to identify the key images in a document for use in a summary of the

10 document. Further details on the proximity factor, the image metric, and the selection of key images are described in further detail below

FIG. 2 illustrates the operations associated with image selection process 114.

Initially, image selection process 114 extracts one or more document keywords from the document (step 202). In one implementation, the user specifies the set of words to be

15 extracted from the document and identified as the document keywords. For example, the user can specify that image selection process 114 search a document for the words found in a dictionary. If the document to be processed concerns telecommunication and high-speed networking, the user may identify a set of words found in a technical dictionary having words related to telecommunications or high-speed networking.

20 Alternatively, the user may instruct image selection process 114 to identify the document keywords as those words occurring at a high frequency in the document. For example, the user may also specify that a word reoccur at a minimum threshold level in the document to be considered a document keyword. For example, the user can specify that a

word appear in a document with a 1% minimum frequency to be considered a document keyword.

Image selection process 114 may also compare the meaning of a word with the subject matter of the document to identify document keywords. Words closely associated with the subject matter of a document would be considered better document keywords than other words in the document. For example, if the subject matter of a document is geography then words such as “terrain”, “latitude”, “longitude”, or “equator” typically found in a geographic dictionary would be considered better document keywords.

Image selection process 114 then orders the document keyword according to an ordering criterion (step 204). The ordering criterion ranks the importance of the document keywords within the document and is later used to rank the images within the document. The user can either explicitly rank the document keywords or can instruct image selection process 114 to automatically rank the document keywords according to one or more criteria. For example, the user can specify that image selection process 114 automatically rank the more frequently occurring document keywords in the document with a higher importance than the less frequently occurring document keywords in the document. To become a document keyword, a word would have to appear in the predetermined list of words as well as appear a minimum number of times in the document text. Of course, document keywords can also be ranked using combinations of several ordering criteria. For example, document keywords can be ranked according to the frequency they appear in the document as well as their relationship to the subject matter of a document.

In addition to processing words in the document, image selection process 114 also includes collecting and processing each image associated with the document (step 206). The collecting step includes identifying the location of each image in the document and gathering

the text directly associated with each image. The text associated with each image is called image text and can be physically adjacent to the image on a page or may be associated with each image over the Internet through a hypertext link. Image text can also include metatags or other information used to categorize an image, titles or other words used to describe the image, and words extracted directly from within the image using image processing techniques. For example, image text can be identified in a bit-mapped image by scanning the image, filtering the scanned image for text, and generating text information.

Image selection process 114 uses the document keywords and image information to generate a proximity factor to identify the key images in the document. The proximity factor reflects the correlation between each image collected from the document and each document keyword (step 208). In one implementation, image selection process 114 performs semantic or lexical comparisons between the image text associated with an image and the document keywords collected from the document to generate the proximity factor for an image. In an alternate implementation, image selection process 114 generates the proximity factor by measuring the actual physical distance between an image and each of the document keywords in the document. Both methods of generating a proximity factor are described in further detail below along with FIG. 3 and FIG. 4 respectively.

Image selection process 114 then selects the key images according to an image metric. The image metric combines the proximity factor between a document keyword and an image and weights it based on the ordering criterion used to order the document keywords (step 210). For example, one type of image metric value can be generated by multiplying the proximity factor with the corresponding rank of the document keyword. If the document keywords are not ranked, each proximity factors are multiplied by a factor of one. Image

selection process 114 selects those images having an image metric value exceeding a threshold level and presents the images on a display device to the user (step 212)

FIG. 3 illustrates the operations used to compare the image text and the document keywords to generate a proximity factor for the image. Initially, image selection process 114
5 gathers image text from the text associated with each image (step 302). This image text includes all the text associated with an image. For example, image text can include all the words used in the title of an image as well as text generated from a bit-mapped version of the image using well-known image processing techniques.

Next, image selection process 114 compares each word of the image text with the
10 document keywords (step 304). This comparison includes a lexical comparison of each word where each letter from each word of image text is compared with the corresponding letters in a document keyword. Alternatively, a semantic or phonetic comparison may be used to compare each word in the image text with each document keyword identified in the document. For example, a semantic comparison may compare descriptive information
15 describing a word in the image text with the corresponding descriptive information for each document keyword. This descriptive information includes information such as the definition of a word. Unlike the semantic comparison, the phonetic comparison compares the sound of the image text with the sound of the document. Using well-known techniques, both the semantic and phonetic comparisons work in conjunction with databases, dictionaries, neural-
20 networks, metadata and other technologies used to store, retrieve, and process the information.

Using one of the comparisons described above, if a document keyword closely matches the image text (step 306), then image selection process 114 generates a proximity factor indicating a high correlation between the particular image and the corresponding

document keyword (step 308). If the document keyword is only similar to some of the image text (step 310), then image selection process 114 generates a proximity factor indicating a moderate correlation between the image and the document keyword (step 312). Finally, if the document keyword is determined to be unrelated to the image text (step 314), then

5 proximity process 116 generates a proximity factor indicating a low correlation between the image and the document keyword (step 316). As an alternate to generating a proximity factor, image proximity process 116 can prompt the user to enter a proximity factor based on customized or user criteria (step 318). For example, image proximity process 116 can display a dialog box on a display device presenting the image, the image text, and the

10 document keyword to a user and requesting the user to enter a proximity factor based on the user's judgment.

FIG. 4 illustrates yet another implementation that generates the proximity factor by measuring the position of an image in a document in relationship to the position of the document keywords identified in the document. For example, an image having a document

15 keyword in the image's title would likely be selected as a key image because of the close proximity between the document keyword and the image.

Initially, image selection process 114 identifies each image in the document and specifically notes the location of the image in the document relative to other text and images (step 402). The actual location of an image can be identified by a page number, by the

20 number of single spaced lines from the start of the document, and by the number of characters offset from the start of the document as well as any combinations thereof.

Accordingly, image selection process 114 then measures the distance between the image and each document keyword in the document (step 404). These measurements include measuring the distance to document keywords located prior to the image as well as

subsequent to the image in the document. If the distance from an image exceeds a threshold level (step 406), image selection process 114 assigns a nominal proximity factor to the image for the document keyword (step 408). The nominal proximity factor indicates that the image is unlikely to be associated with the particular document keyword. However, if the distance
5 between the image and the document keywords is less than the threshold level, the proximity process 116 assigns a proximity factor to the image for the keyword corresponding to the distance (step 410). For example, the proximity factor can equal the reciprocal of the number of single-spaced lines between the center of the image and a document keyword. Once the proximity factors for each image are determined, each proximity factor is weighted according
10 to the ranking of the document keywords.

This latter technique relies on spatial locality between an image and the keywords to identify the key images in the document. In addition, the proximity factor for an image can be generated using combinations of the above methods. For example, image selection process 114 may generate a proximity factor by measuring the distances between the image
15 text and the document keywords as well as performing a letter-by-letter comparison between the image text and the various document keywords.

The methods and systems described above allow the automatic selection of images from a document. By automating the selection of key images from a document and presenting them on a computer display screen, people can quickly understand the meaning of
20 document simply by looking at a few of the most important images in the document. This can be helpful for people doing research who need to access information quickly.

It can also be useful for people who have a slight visual impairment and can see pictures better than read text information. Accordingly, the systems and methods described

above would help these people understand the contents of a document through a series of images.

Implementations of the present invention use digital electronic circuitry including various combinations of computer hardware, firmware, and software. Further, an apparatus
5 can be implemented as a computer program product tangibly embodied in a machine-readable storage device for execution by a programmable processor; and method steps of the invention can be performed by a programmable processor executing a program of instructions to perform functions of the invention by operating on input data and generating output. The invention can also be implemented advantageously in one or more computer
10 programs that are executable on a programmable system including at least one programmable processor coupled to receive data and instructions from, and to transmit data and instructions to, a data storage system, at least one input device, and at least one output device. Each computer program can be implemented in a high-level procedural or object-oriented programming language, or in assembly or machine language if desired; and in any case, the
15 language can be a compiled or interpreted language. Suitable processors include, by way of example, both general and special purpose microprocessors. Generally, a processor will receive instructions and data from a read-only memory and/or a random access memory. Generally, a computer will include one or more mass storage devices for storing data files; such devices include magnetic disks, such as internal hard disks and removable disks;
20 magneto-optical disks; and optical disks. Storage devices suitable for tangibly embodying computer program instructions and data include all forms of non-volatile memory, including by way of example semiconductor memory devices, such as EPROM, EEPROM, and flash memory devices; magnetic disks such as internal hard disks and removable disks;

magneto-optical disks; and CD-ROM disks. Any of the foregoing can be supplemented by, or incorporated in, ASICs (application-specific integrated circuits).

While specific embodiments have been described for purposes of illustration, various modifications may be made without departing from the spirit and scope of the invention. For example, performing lexical and phonetic comparisons were discussed for comparing image text and document text, however other methods for comparing text can also be used. .

Further, instead of selecting an image based upon the linear distance between an image and a document keyword, the distance can be measured by the radial physical distance from the centroid of an image to the centroid of the document keyword. Also, if the distance between an image and a document keyword exceeds a threshold distance, the proximity factor is assigned a 0 indicating the image is not relevant to the document. Accordingly, the invention is not limited to the above described embodiments, but instead is defined by the appended claims in light of their full scope of equivalents.

What is claimed is:

1. A computer-implemented method of identifying key images in a document, comprising:
 - extracting one or more document keywords from the document considered important in describing the document;
 - collecting one or more images associated with the document including information describing each image;
 - generating a proximity factor for each image collected from the document and each document keyword that reflects the degree of correlation between the image and the document keyword; and
 - determining the importance of each image according to an image metric that combines the proximity factors for each document keyword and image pair.
2. The method of claim 1 further comprising presenting the images within the document determined to be important on a display device.
3. The method of claim 1 further comprising:
 - ordering the document keywords according to an ordering criterion; and
 - weighting the proximity factor associated with each document keyword and image pair based on the order of the document keyword.
4. The method of claim 3 wherein the frequency that each document keyword appears in the document determines the ordering criterion used to order the document keywords.
5. The method of claim 3 wherein the ordering criterion orders the document keywords according to their relationship with the subject matter of the document.
6. The method of claim 1 wherein generating a proximity factor further comprises identifying image text used to describe each image.
7. The method of claim 6 wherein identifying the image text further comprises:

scanning a bit-mapped representation of the image for text
information; and

converting the bit-mapped representation of the text
information into image text.

5 8. The method of claim 6 wherein the images include metadata having text
 information and identifying the image text further comprises:
 searching the metadata information associated with the
 image for text describing the image.

10 9. The method of claim 8 wherein the metadata information is compatible
 with hypertext markup language (HTML).

10 10. The method of claim 6 wherein generating the proximity factor further
 comprises lexically analyzing the image text associated with each
 image and each document keyword to determine the degree of
 correlation between an image and a document keyword.

15 11. The method of claim 6 wherein generating the proximity factor further
 comprises performing a phonetic comparison between the image
 text associated with each image and each document keyword to
 determine the degree of correlation between an image and a
 document keyword.

20 12. The method of claim 1 wherein generating the proximity factor further
 comprises:

 identifying the location of the image in the document; and
 measuring the distance between the image in the document and a
 document keyword.

25 13. The method of claim 11 wherein generating the proximity factor further
 comprises:

 determining the correlation between each document
 keyword and an image according to the distance between the
 document keyword and the image.

30 14. A computer apparatus for identifying key images in a document,
 comprising:

a processor for executing instructions;

memory having instructions when executed on the processor that extract one or more document keywords from the document considered important in describing the document, collect one or more images associated with the document including information describing each image, generate a proximity factor for each image collected from the document and each document keyword that reflects the degree of correlation between the image and the document keyword, determine the importance of each image according to an image metric that combines the proximity factors for each document keyword and image pair.

15. The apparatus of claim 14 further comprising instructions when executed on the processor that present the images within the document determined to be important on a display device.
16. The apparatus of claim 14 further comprising instructions when executed on the processor that order the document keywords according to an ordering criterion and weight the proximity factor associated with each document keyword and image pair based on the order of the document keyword.
17. The apparatus of claim 16 further comprising instructions when executed on the processor that use the frequency that each document keyword appears in the document to determine the ordering criterion used to order the document keywords.
18. The apparatus of claim 16 further comprising instructions when executed on the processor that uses an ordering criterion that orders the document keywords according to their relationship with the subject matter of the document.
19. The apparatus of claim 14 wherein the proximity factor is generated by instructions executed on the processor that identify image text used to describe each image.
20. The apparatus of claim 19 further comprising instructions when executed

on the processor that scan a bit-mapped representation of the image for text information and convert the bit-mapped representation of the text information into image text.

- 5
21. The apparatus of claim 19 wherein the images include metadata having text information and identifying the image text further comprises instructions when executed on the processor that search metadata information associated with the image for text describing the image.
- 10
22. The apparatus of claim 21 wherein the metadata information is compatible with hypertext markup language (HTML).
23. The apparatus of claim 19 wherein generating the proximity factor further comprises instructions when executed on the processor that lexically analyze the image text associated with each image and each document keyword to determine the degree of correlation between an image and a document keyword.
- 15
24. The apparatus of claim 19 wherein generating the proximity factor further comprises instructions when executed on the processor that perform a phonetic comparison between the image text associated with each image and each document keyword to determine the degree of correlation between an image and a document keyword.
- 20
25. The apparatus of claim 19 wherein generating the proximity factor further comprises instructions when executed on the processor that identify the location of the image in the document and measure the distance between the image in the document and a document keyword.
- 25
26. The apparatus of claim 25 wherein generating the proximity factor further comprises instructions when executed on the processor that determine the relevance of each document keyword to an image according to the distance between a document keyword and the image.
- 30
27. An apparatus for identifying key images in a document, comprising:

means for extracting one or more document keywords from the document considered important in describing the document;

means for collecting one or more images associated with the document including information describing each image;

means for generating a proximity factor for each image collected from the document and each document keyword that reflects the degree of correlation between the image and the document keyword; and

means for determining the importance of each image according to an image metric that combines the proximity factors for each document keyword and image pair.

28. The apparatus of claim 27, further comprising:

means for ordering the document keywords according to an ordering criterion; and

means for weighting the proximity factor associated with each document keyword and image pair based on the order of the document keyword.

ABSTRACT

A computer-implemented method and system for identifying key images in a document is provided. The operations used include extracting one or more document keywords from the document considered important in describing the document, collecting one or more images associated with the document including information describing each image, generating a proximity factor for each image collected from the document and each document keyword that reflects the degree of correlation between the image and the document keyword, and determining the importance of each image according to an image metric that combines the proximity factors for each document keyword and image pair. In addition, the operations may also include ordering the document keywords according to an ordering criterion and weighting the proximity factor associated with each document keyword and image pair based on the order of the document keyword.

15 500007290.doc

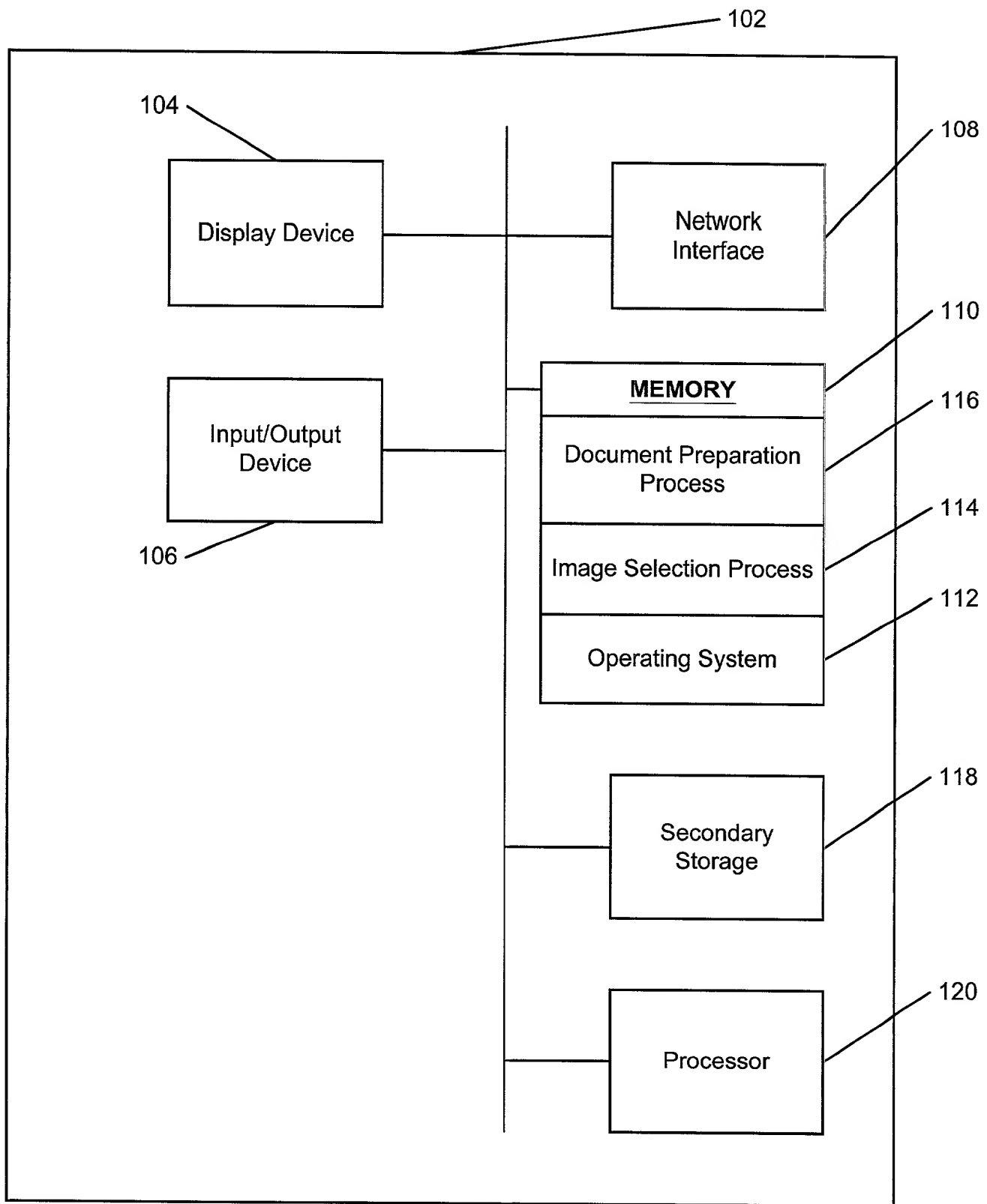


FIG. 1

114

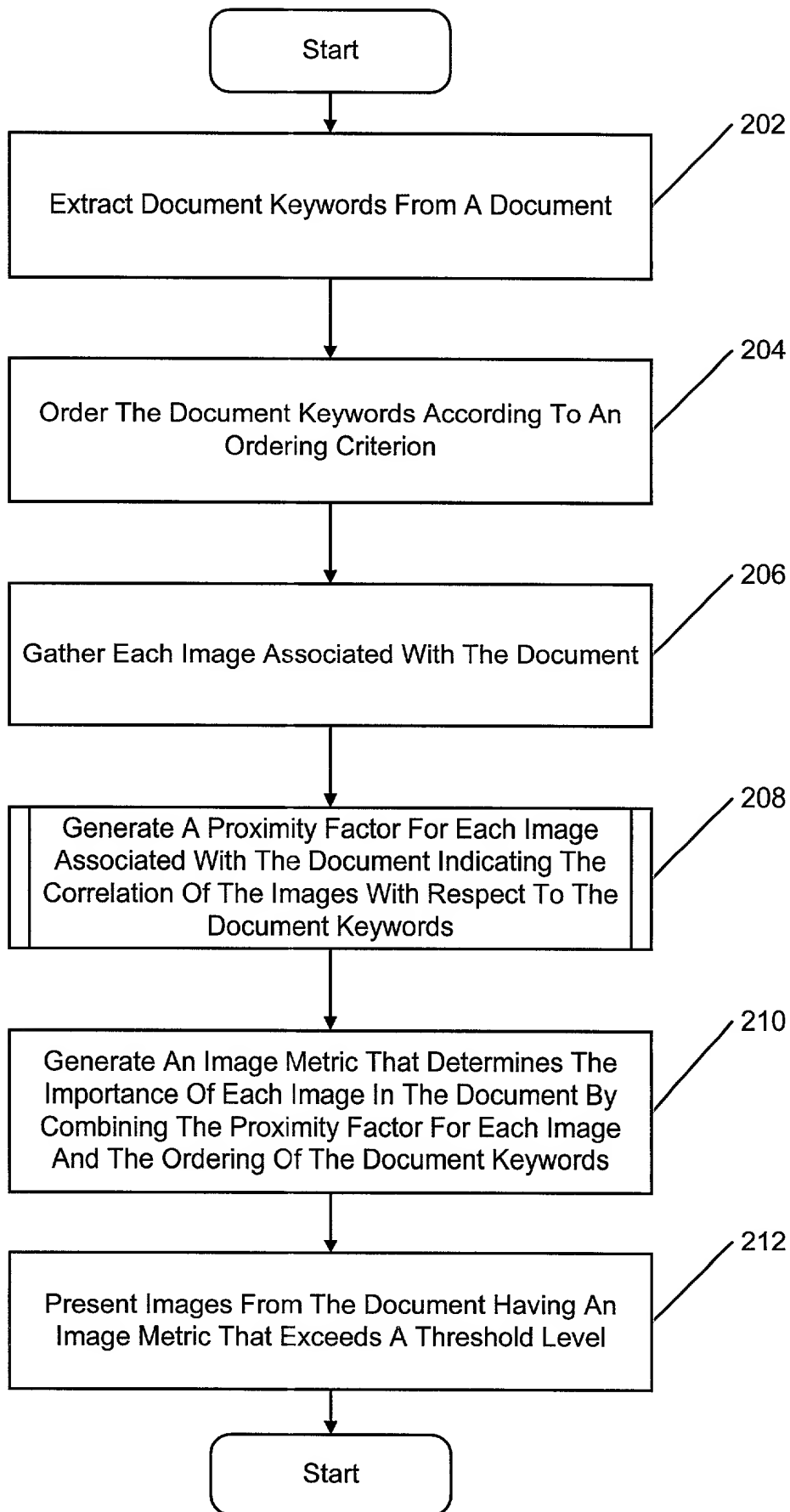


FIG. 2

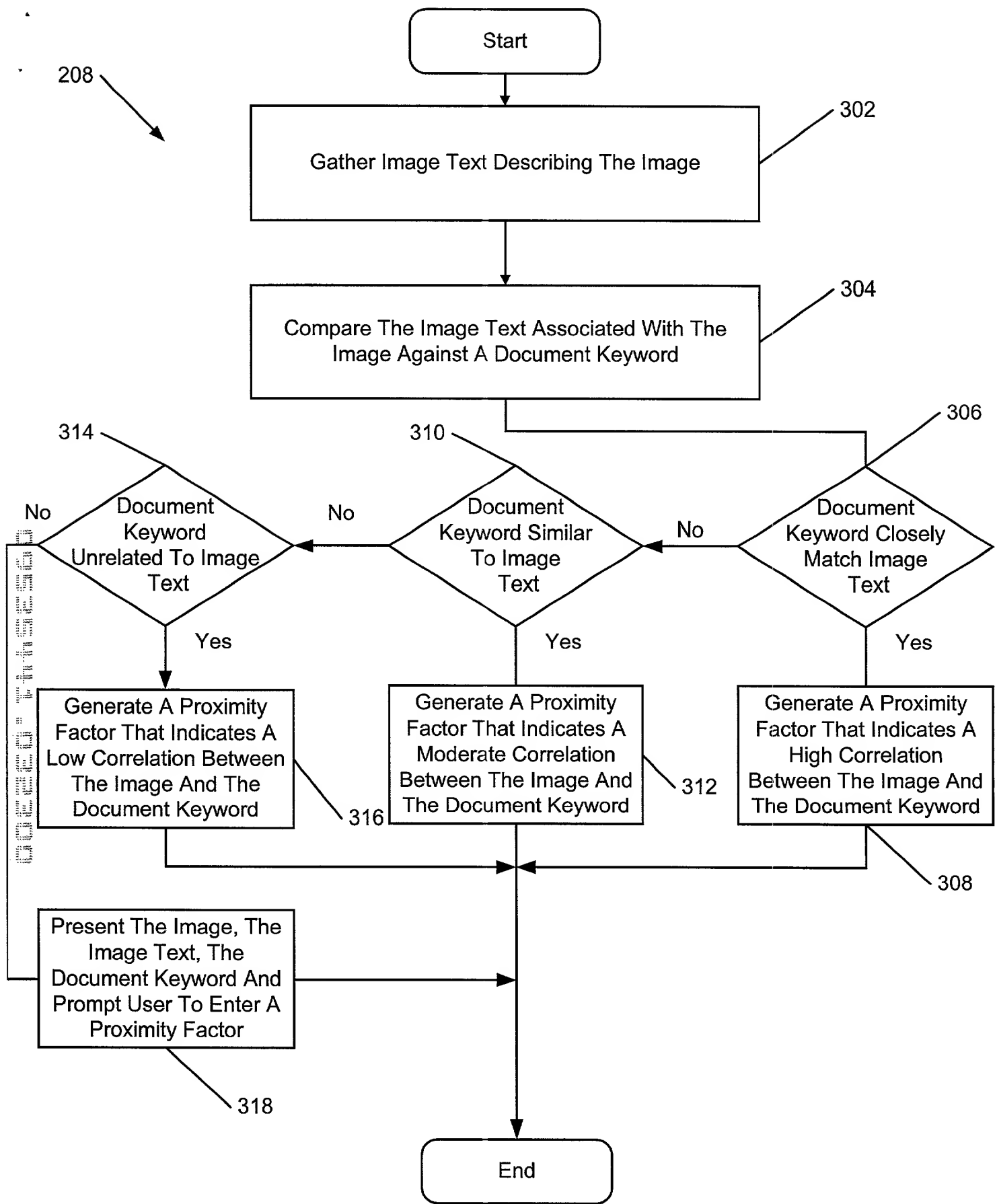


FIG. 3

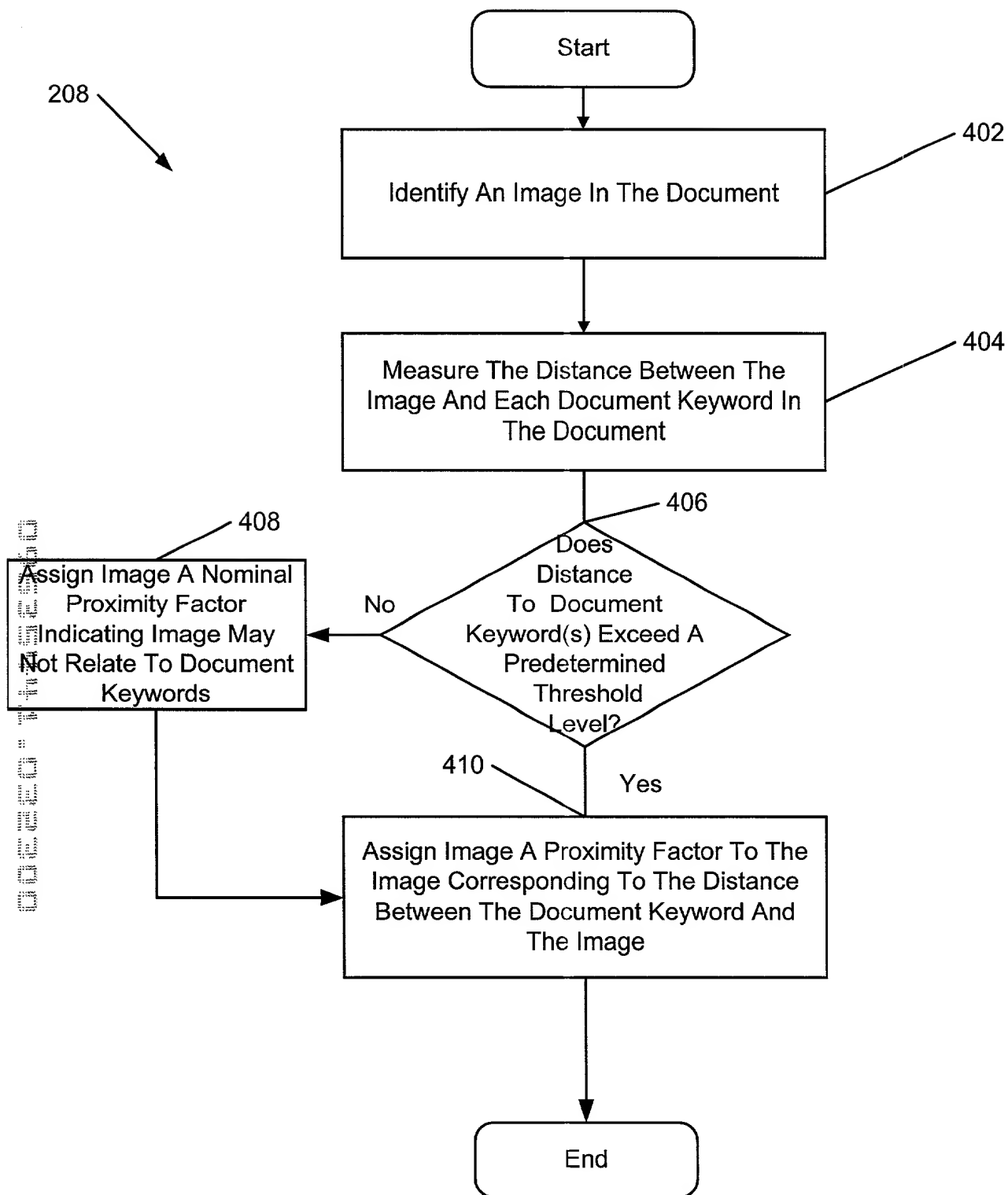


FIG. 4

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND APPARATUS FOR IDENTIFYING IMAGES IN A DOCUMENT
THAT CORRELATE TO THE DOCUMENT TEXT

the specification of which:

☒ is attached hereto.

☐ was filed on _____.

☐ under Application No. _____.

☐ with Express Mail No. _____ (Application Number not yet known).

☐ was described and claimed in PCT International Application No. _____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, code of Federal Regulations, Section 1.56(a).

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that Customer Number:

Customer Number 021876

Address all telephone calls to Leland Z. Wiesner, Reg. No. 39,424 at telephone number (650) 332-5070.

☒ For Assigned Inventions: I understand that the purpose of making this appointment is to permit prosecution of patent applications for the above-identified invention for the benefit of my assignee, and that this appointment does not create an attorney-client relationship between me and these appointees.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of inventor: Chinmoy Panda

Inventor's signature

Chinmoy Panda

Date:

March 15, 2000

Residence:

Cuttack, Orissa, India 753001

Citizen of:

India

Post Office Address:

273/A, Kesharpur, Cuttack, Orissa, India 753001